

Nominee Services

Search

File Upload

Reporting

Corporate Actions

Templates

4Items awaiting approval (12)

Search results

In Progress

Historical

1Participant

1112 – Nominee Services Limited

2Issuer

Westpac Banking Corporation

3Search by

HIN/SRN

Company

Person

* Mandatory Field

Search

Reset

Name ^	Type	HIN/SRN	Address	Postcode	Action
Nominee Services Limited	Corporation	X*****2054	Level 12, 201 George St, Sydney	2001	View
Nominee Services Limited	Corporation	X*****5985	Level 12, 201 George St, Sydney	2001	View
Nominee Services Limited	Corporation	X*****1115	Level 12, 201 George St, Sydney	2001	View
Nominee Services Limited	Corporation	X*****0864	Level 12, 201 George St, Sydney	2001	View

3

Company

Name*

Postcode

[Outside Australia](#)

Company

Name*

Country

[Within Australia](#)

Person

First name*

Last name*

Postcode

[Outside Australia](#)

Person

First name*

Last name*

Country

[Within Australia](#)

- 1Participant list to drive users selection, display as label if only one.
- 2Issuer list would need to be pulled from Oscar
- 3Search by to limit results by PID and selected issuer.
- 4

Items awaiting approval (12)

Search approvals (5)

File Upload approvals (2)

DRP Approvals (5)

OnClick Items awaiting approval, list will display each module with active approvals and the breakdown of approvals for each one.

OnClick of menu item will redirect user to relevant in progress screen to view outstanding approvals.

User will only see items awaiting approval that they can action, with the rules being;

Only if logged in users has approval access to any of the modules

Only approvals the user can action, i.e. where the user has not performed the previous action.

All approval types will be displayed.

Include DRP module and Voting module, along with all Nominee Services tabs that require an approval workflow.

Exclude snapshot

Redirect will only result in user being directed to the relevant screen, no filtering will be applied.

Link to appear in all pages in nominee services module, will not appear in DRP and Voting modules.
- 19

0

WESTPAC BANKING CORPORATION



miraql Nominee Services

miraql

Page: Search - Holding details - excel and PDF

Version 0.13

1-Nov-16 11:54 AM

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Transaction history

Period: 13/07/2016 to 13/10/2016
Security: WBC

Date	Security code	Transaction	Move up	Move down	Net move	Closing balance
15/07/2016	WBC	Holding Net Movement (CHESS 510)	422,600	0	422,600	743,890
15/07/2016	WBC	Holding Net Movement (CHESS 510)	0	(349,019)	(349,019)	321,290
14/07/2016	WBC	Holding Net Movement (CHESS 510)	388,700	0	388,700	670,309
14/07/2016	WBC	Holding Net Movement (CHESS 510)	0	(503,706)	(503,706)	281,609
13/07/2016	WBC	Holding Net Movement (CHESS 510)	638,400	0	638,400	785,315
13/07/2016	WBC	Holding Net Movement (CHESS 510)	0	(143,734)	(143,734)	146,915

Data from OSCAR

WESTPAC BANKING CORPORATION

Holding details								
Registered holder	NATIONAL NOMINEES LIMITED <DB A/C>				Holding type:	Corporate		
Holdings	WBC - WESTPAC BANKING CORPORATION (ORDINARY FULLY PAID SHARES)							
	Last close:	AUD	30.11		Tradeable balance:	743,890		
	Value:	AUD	22,398,527.90		Non-tradeable balance:	0		
	WBCHB - WESTPAC BANKING CORPORATION (WESTPAC SUBORDINATED NOTES II)							
	Last close:	AUD	100.99		Tradeable balance:	110		
	Value:	AUD	11,108.46		Non-tradeable balance:	0		
	WBCPD - WESTPAC BANKING CORPORATION (WESTPAC CAPITAL NOTES)							
	Last close:	AUD	98.75		Tradeable balance:	0		
	Value:	AUD	0.00		Non-tradeable balance:	0		
	WBCPE - WESTPAC BANKING CORPORATION (WESTPAC CAPITAL NOTES 2)							
	Last close:	AUD	92.30		Tradeable balance:	50		
	Value:	AUD	4,615.00		Non-tradeable balance:	0		
	WBCPF - WESTPAC BANKING CORPORATION (WESTPAC CAPITAL NOTES 3)							
	Last close:	AUD	98.80		Tradeable balance:	0		
	Value:	AUD	0.00		Non-tradeable balance:	0		
	WBPCG - WESTPAC BANKING CORPORATION (WESTPAC CAPITAL NOTES 4)							
Payment & tax	Last close:				Tradeable balance:	0		
	AUSTRALIA Direct credit				Recorded			
	BSB:	083-043						
	Account:	**5548205						
Communications	Communication options				Address			
	All communications by post and No annual report (view online)				GPO BOX 1406			
					MELBOURNE			
					VIC - VICTORIA 3001			
					AUSTRALIA			
Data from OSCAR								

Templates used to generate excel and PDF file will need to be updated to replace the issuers name at the top of the template, to the clients name, i.e. the Custodian.

Update reports for all pages, including;

- Holding Details page
- Transaction history page
- Payment history page
- Tax history page

And ensure all new pages use the clients name.

miracle®

Registry

Plans

Ownership

CRM

Web

Sharelink

Find a company or person...

Nominee User

Alerts (10)

Log out

Nominee Services

Search

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View

Holding details

Transaction history

Payment history

Tax history

DRP details

Items awaiting approval (12)

WBC, X*****5985 (Nominee Services Limited)

1

<< Return to holding details

Update tax details

WBC, X*****5985 (Nominee Services Limited)

Current Tax Status: Not Recorded

Nominee Services Limited

ABN:

TFN:

Type: Select Type...

If you provide a TFN for your Superfund, you are required to lodge the TFN under your individual name / company name and select Superfund TFN as the type.

6

Exemption:

Select...

7

Country of tax residency:

Select...

8

This holder is a non-Australian resident for tax purposes

2

3

Select other holdings to apply this update.

HIN/SRN	Issuer	Current tax status	Update
X*****5985	CBA	Recorded	☐
X*****5985	CCL	Not Recorded	☒
X*****5985	SUN	Not Recorded	☐
X*****5985	ANZ	Recorded	☐

10

Cancel

Confirm

9

LINK GROUP

miracle Nominee Services

miracle

Page: Search - Update - Tax Details

Version 0.13

1-Nov-16 11:54 AM

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1

User will have the ability to return to holding details page with previous details persisting.
OnClick Return to holding details any details entered will be abandoned.

2

Option will be available at all times, default to unticked, once selected, table will appear

3

Table will display all available holdings for selected HIN.

Web service will be restricted to parameters;

- PID
- HIN

Table will display;

- HIN/SRN – based on selected holding
- Issuer – All available holdings for selected HIN.
- Current tax status – details held in Oscar against holding, display Recorded where present or Not Recorded where no details present.
- Update – tick box, default is unselected

Do not display holding being updated in table.

4

Tick box – Allows user to select additional holdings to apply provided details against

5

No longer required

6

For exemption list use Oscar reference data service GetResWHTCodes

7

For Country of tax residency list use Oscar reference data service GetCountries

8

For type list display:

- Corporation
- Individual
- Partnership
- Trust (incl. Superannuation Fund)

9

OnClick confirm an approval email is triggered to inform all users with approval permission with access to search module, for the particular miracle client.

User is returned to holding details page with previous details persisting.

10

OnClick Cancel entered details are abandoned and user is returned to holding details page with previous details persisting.

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Nominee Services

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View

Holding details

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Tax history

DRP details

WBC, X*****5985 (Nominee Services Limited)

Items awaiting approval (12)

1

<< Return to holding details

Update communication options

WBC, X*****5985 (Nominee Services Limited)

Select your preferred method of communication

☒ All communications electronically

Receive all communications electronically including notification of annual reports, notices of meetings and payment statements.

[Hide other options](#)

7

☒

Please select

6

Email sent to: ☒ Current EmailinOscar@nomservice.com

☐ New

2

☒ Select other holdings to apply this update.

3

HIN/SRN	Issuer	Current communication option	Update
X*****5985	CBA	All communications electronically including notification of the annual reports, notices of meetings and payment statements.	☐
X*****5985	CCL	All communications electronically including notification of the annual reports, notices of meetings and payment statements.	☒
X*****5985	SUN	All communications electronically including notification of the annual reports, notices of meetings and payment statements.	☐
X*****5985	ANZ	All communications electronically including notification of the annual reports, notices of meetings and payment statements.	☐

4

10

☒ Suppress confirmation advices

9

Cancel

Confirm

8

1

User will have the ability to return to holding details page with previous details persisting.

OnClick Return to holding details any details entered will be abandoned.

2

Option will be available at all times, default to unticked, once selected, table will appear.

3

Table will display all available holdings for selected HIN.

Web service will be restricted to parameters;

- PID
- HIN

Table will display;

- HIN/SRN – based on selected holding
- Issuer – All available holdings for selected HIN.
- Current communication option – details held in Oscar against holding, display full description, results filtered against issuers, only display issuers that support selected Comms preference.
- Update – tick box, default is unselected

Do not display holding being updated in table.

4

Tick box – Allows user to select additional holdings to apply provided details against

5

No longer required

6

For individual holding display email address currently registered against selected holding in Oscar along with other option, display other by itself if no email address found.

7

Communications preference dropdown to display alternative preference options, these options will be limited to 4 options including all electronic, for all issuers.

EEN	All communications electronically including notification of the annual report and payment statements
ENN	All communications electronically, except payment statements by post
NNN	All communications by post and no annual report (view online)
NNA	All communications by post and post annual report

8

OnClick confirm an approval email is triggered to inform all users with approval permission with access to search module, for the particular miracle client.

User is returned to holding details page with previous details persisting.

9

OnClick Cancel entered details are abandoned and user is returned to holding details page with previous details persisting.

10

Suppress confirmation advices will apply to all selected holdings and will appear as selected for all holdings on in progress screen, where applied.

Below displays layout only, will be a full window view for each update, not a modal window, view and edit for 'In Progress' will be displayed as module windows.

Collapsed View

Update tax details

WBC, X*****5985 (Nominee Services Limited)

Current Tax Status: Not Recorded

Nominee Services Limited

ABN:

TFN:

If you provide a TFN for your Superfund, you are required to lodge the TFN under your individual name / company name and select Superfund TFN as the type.

Exemption:

This holder is a non-Australian resident for tax purposes

Select other holdings to apply this update, results filtered to supported issuers.

Cancel

Confirm

Update communication options

WBC, X*****5985 (Nominee Services Limited)

Select your preferred method of communication

All communications electronically

Receive all communications electronically including notification of annual reports, notices of meetings and payment statements.

Show other options

Email sent to: Current EmailinOscar@nomservice.com

New

Select other holdings to apply this update, results filtered to supported issuers.

Suppress confirmation advices

Cancel

Confirm

Expanded View

Update tax details

WBC, X*****5985 (Nominee Services Limited)

Current Tax Status: Not Recorded

Nominee Services Limited

ABN:

TFN:

Type: Select Type...

If you provide a TFN for your Superfund, you are required to lodge the TFN under your individual name / company name and select Superfund TFN as the type.

Exemption: Select...

This holder is a non-Australian resident for tax purposes

Country of tax residency: Select...

Select other holdings to apply this update, results filtered to supported issuers.

Cancel

Confirm

Update communication options

WBC, X*****5985 (Nominee Services Limited)

Select your preferred method of communication

All communications electronically

Receive all communications electronically including notification of annual reports, notices of meetings and payment statements.

Hide other options

Please select

Email sent to: Current EmailinOscar@nomservice.com

New

Select other holdings to apply this update, results filtered to supported issuers.

Suppress confirmation advices

Cancel

Confirm

Expanded View – Multiple holders

Update tax details

WBC, X*****5985 (Nominee Services Limited)

Current Tax Status: Not Recorded

Mr Jack Nominee

ABN:

TFN:

Type: Select Type...

If you provide a TFN for your Superfund, you are required to lodge the TFN under your individual name / company name and select Superfund TFN as the type.

Exemption: Select...

This holder is a non-Australian resident for tax purposes

Country of tax residency: Select...

Select other holdings to apply this update, results filtered to supported issuers.

Cancel

Confirm

Update tax details

WBC, X*****5985 (Nominee Services Limited)

Current Tax Status: Not Recorded

Mrs Jane Nominee

ABN:

TFN:

Type: Select Type...

If you provide a TFN for your Superfund, you are required to lodge the TFN under your individual name / company name and select Superfund TFN as the type.

Exemption: Select...

This holder is a non-Australian resident for tax purposes

Country of tax residency: Select...

Select other holdings to apply this update, results filtered to supported issuers.

Cancel

Confirm

25

Below displays layout only, limited to AUS, UK and NZ, will be a full window view for each update, not a modal window, view and edit for 'In Progress' will be displayed as module windows.

Australian DDR view

Update payment details

WBC, X*****5985 (Nominee Services Limited)

Please specify how you would like to receive cash payments.

Payment type:

AUSTRALIA Direct Credit

Account name:

BSB:

Account number:

[Remove payment instructions](#)

☐ Select other holdings to apply this update, results filtered to supported issuers.

☒ Suppress confirmation advices

Cancel

Confirm

United Kingdom foreign banking view

Update payment details

WBC, X*****5985 (Nominee Services Limited)

Please specify how you would like to receive cash payments.

Payment type:

United Kingdom Direct Credit

Account name:

Sort Code:

Account number:

Building Society ref:

[Remove payment instructions](#)

☐ Select other holdings to apply this update, results filtered to supported issuers.

☒ Suppress confirmation advices

Cancel

Confirm

New Zealand foreign banking view

Update payment details

WBC, X*****5985 (Nominee Services Limited)

Please specify how you would like to receive cash payments.

Payment type:

New Zealand Direct Credit

Account name:

Bank/Branch:

Account number:

Suffix:

[Remove payment instructions](#)

☐ Select other holdings to apply this update, results filtered to supported issuers.

☒ Suppress confirmation advices

Cancel

Confirm

Services
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options
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Below displays layouts will not be actioned for this release, may however be future development items.

European Union foreign banking view

Update payment details

WBC, X*****5985 (Nominee Services Limited)

Please specify how you would like to receive cash payments.

Payment type:

European Union Direct Credit

Account name:

IBAN:

SWIFT:

[Remove payment instructions](#)

☐ Select other holdings to apply this update, results filtered to supported issuers.

☒ Suppress confirmation advices

Cancel

Confirm

Switzerland foreign banking view

Update payment details

WBC, X*****5985 (Nominee Services Limited)

Please specify how you would like to receive cash payments.

Payment type:

Switzerland Direct Credit

Account name:

IBAN:

SWIFT:

[Remove payment instructions](#)

☐ Select other holdings to apply this update, results filtered to supported issuers.

☒ Suppress confirmation advices

Cancel

Confirm

Guernsey foreign banking view

Update payment details

WBC, X*****5985 (Nominee Services Limited)

Please specify how you would like to receive cash payments.

Payment type:

Guernsey Direct Credit

Account name:

Sort Code:

Account number:

[Remove payment instructions](#)

☐ Select other holdings to apply this update, results filtered to supported issuers.

☒ Suppress confirmation advices

Cancel

Confirm

Future Development Items

Nominee Services

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Items awaiting approval (12)





View

[Holding details](#)
[Transaction history](#)
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[DRP details](#)

WBC, X*****5985 (Nominee Services Limited)

Period

[Today](#)
[1w](#)
[1m](#)
[3m](#)
[6m](#)
[1y](#)
[2y](#)
[Custom Period](#)

[<< Return to search results](#)

Payment history

15/06/2016 to 15/09/2016

Date ^	Payment type	Payment amount (AUD)	Payment status	Statement
15/09/2016	Distribution	37,632.35	Direct Credit (Paid)	Download
15/09/2016	Distribution	52,179.83	Direct Credit (Paid)	Download
15/09/2016	Dividend	394,389,991.74	Direct Credit (Paid) DRP	Download
14/09/2016	Rights Issue	0.00	Direct Credit (Paid)	Download

Nominee Services

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Items awaiting approval (12)





View

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WBC, X*****5985 (Nominee Services Limited)

Period

[Today](#)
[1w](#)
[1m](#)
[3m](#)
[6m](#)
[1y](#)
[2y](#)
[Custom Period](#)

[<< Return to search results](#)

Tax history

15/06/2016 to 15/09/2016

Date ^	Payment type	Franked amount	Unfranked amount	Gross amount	W'holding tax	Net amount	Franked credit
15/09/2016	Distribution (AUD/AUS)	37,632.35	0.00	37,632.35	0.00	37,632.35	16,128.15
15/09/2016	Distribution (AUD/AUS)	52,179.83	0.00	52,179.83	0.00	52,179.83	22,362.78
15/09/2016	Dividend (AUD/AUS)	394,389,991.74	0.00	394,389,991.74	0.00	394,389,991.74	169,024,282.17
14/09/2016	Rights Issue (AUD/AUS)	0.00	0.00	0.00	0.00	209,700.00	0.00

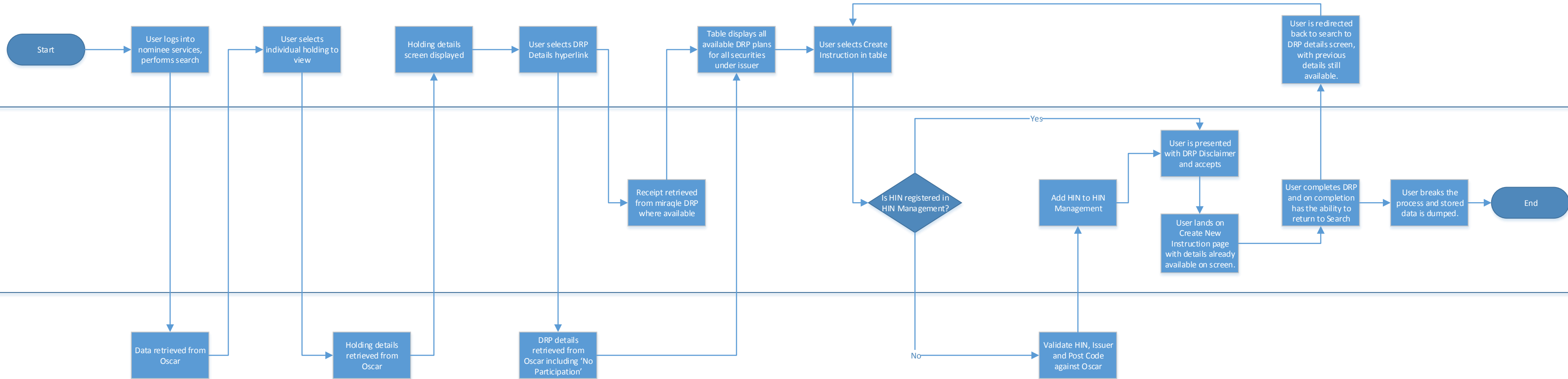
Miraql search to DRP workflow

Phase

Nominee Services

miraql DRP

Oscar



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Registry

Plans

Ownership

CRM

Web

Sharelink

Find a company or person...

Nominee User

| Log out

DRP

sharelink

Instructions

HIN Management

1

<< Back to Search

Create an Instruction

Back to Instruction Grid

Find a Plan

2

Issuer Code

WBC

Find

Search on "WBC" located the following HIN and Issuer combinations. Please select one to continue.

3

Filter:

X00000115985

4

HIN ^	Holder name	Issuer code	Issuer Name	Plans
X00000115985	Nominee Services Limited	WBC	Westpac Banking Corporation	View Plans

5

Displaying available plans for X00000115985 and WBC

Security: WBC (WESTPAC BANKING CORPORATION)

Holder: X00000115985 (Nominee Service Limited)

Holding: 264,158,081

Available Plans

Plan Code: DRP1

Record Date: 01/01/2017

Participation: FULL PARTICIPATION

Create New Instruction

Plan Code: DONATE

Record Date: 01/01/2017

Participation: PERCENT PARTICIPATION

Create New Instruction

Security: WBCCB (WESTPAC BANKING CORPORATION)

Holder: X00000115985 (Nominee Service Limited)

Holding: 10,742

Available Plans

Plan Code: DRP1

Record Date: 01/01/2017

Participation:

Create New Instruction

LINK

GROUP

miracle Nominee Services

miracle

Page: Search - DRP details to DRP Module

Version 0.13

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1

Back to Search option to be available for users redirected to DRP from search, option to be available throughout DRP update cycle, if sequence is broken, back option will no longer be available

2

Issuer code will be held and used from search, user will not need to provide issuer code. User will be able to enter a new issuer code, however doing so will break the sequence and back option will no longer be available.

3

Filter will be set to display HIN provided from search, dropdown will display all other HINs in HIN management that also contain selected issuer code, with ability to select alternative HIN, however doing so will break the sequence and back option will no longer be available.

4

Table to display holding details specific to selection made in search, with filter set to HIN selected.

5

View Plans to be pre selected, displaying all securities available, user may select to update any of the plans without breaking the sequence, on completion of DRP update, user will be directed to the instructions page, with Back to Search option available, user may select options in the actions column or filter table options. If user chooses to select any other option, sequence will be broken and back option will no longer be available.

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Nominee Services

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Items awaiting approval (12)

Search results

In Progress

Historical

View by

HIN

X00000023205

Issuer Code

Go

Clear Filter

Period

Today

3d

1w

1m

3m

Custom Period

HIN	Issuer code	Details updated	Status	Date updated	Updated by	Actions	
X*****3205	ANZ	TFN updated	Await Approval 1/2	15 Jun 2015	Jane Citizen	View	History
X*****3205	ANZ	Communication options updated	Await Approval 1/2	15 Jun 2015	Jane Citizen	View	History
X*****3205	WBC	TFN updated	Await Approval 2/2	15 Jun 2015	Jane Citizen	View	History
X*****3205	BSL	TFN updated	Await Approval 2/2	15 Jun 2015	Jane Citizen	View	History
X*****3205	CCL	Payment instructions updated	Await Approval 1/2	15 Jun 2015	Jane Citizen	View	History
X*****3205	PCA	Communication options updated	Await Approval 1/2	15 Jun 2015	Jane Citizen	View	History
X*****3205	PCA	Payment instructions updated	Await Approval 2/2	15 Jun 2015	Jane Citizen	View	History
X*****3205	MIX	Communication options updated	Await Approval 1/2	15 Jun 2015	Jane Citizen	View	History
X*****3205	TLS	Communication options updated	Await Approval 1/2	15 Jun 2015	Jane Citizen	View	History

History

HIN: X*****3205

Issuer code: ANZ

Current status: Await approval 2/2

Details updated: TFN updated

Status completed	Completed by	Date/Time
Update	J.M Citizen	15 Jun 2015 15:03:59
Await approval 1/2	D.F User	15 Jun 2015 15:03:59

Email alerts to be set up and triggered by the below scenarios;

When an approval is waiting actioning – trigger to all approvers

'In Progress' will be displayed at individual holding level and will require approval for each individual holding.

Actions column to display only View and History options.

OnClick View, user is directed to Modal window displaying details being updated, with the ability to either just view or View, Approve or Reject, depending on user permissions.

OnClick History, user is presented with History modal window, displaying in window;

- HIN
- Issuer Code
- Current Status
- Details Updated

And in Table;

- Status completed
- Completed by
- Date/Time

Approve permission

Update payment details

WBC, X*****5985 (Nominee Services Limited)

Please specify how you would like to receive cash payments.

Payment type:

Account name:

BSB:

Account number:

[Remove payment instructions](#)

☒ Suppress confirmation advices

Cancel Reject Approve

Update tax details

WBC, X*****5985 (Nominee Services Limited)

Current Tax Status: Not Recorded

Nominee Services Limited

ABN:

TFN:

Type:

Cancel Reject Approve

Update communication options

WBC, X*****5985 (Nominee Services Limited)

Select your preferred method of communication

☒ All communications electronically

Receive all communications electronically including notification of annual reports, notices of meetings and payment statements.

☒ Suppress confirmation advices

Cancel Reject Approve

Reject

Please confirm that you wish to reject the changes requested for the selected Holding.

Please provide reason for rejection...

Cancel Confirm

1

OnClick Reject, user is presented with confirm rejection modal window, user is required to give an explanation for rejection is space provided.

OnClick Cancel in Reject modal window, user is directed back to the holding update modal window, where they left off.

OnClick Confirm user is directed back to the In Progress table, with filtering and/or page selection persisting, user is returned to where they left off, email is sent to Editor informing them of approvers rejection of update, along with reason provided.

2

OnClick Approve, where status is Await approval 1/2, user will be redirected to In Progress table where status will change to Await approval 2/2.

OnClick Approve where status is Await approval 2/2, user will be redirected to the Historical table where status will change to Approved and details committed to Oscar.

3

OnClick Cancel in holding update modal window, user is directed back to the In Progress table, with filtering and/or page selection persisting, user is returned to where they left off.

View only permission

Update payment details

WBC, X*****5985 (Nominee Services Limited)

Please specify how you would like to receive cash payments.

Payment type:

AUSTRALIA Direct Credit

Account name:

Nominee Services Limited

BSB:

062-000

Account number:

****1125

Remove payment instructions

☒ Suppress confirmation advices

OK

Update communication options

WBC, X*****5985 (Nominee Services Limited)

Select your preferred method of communication

☒ All communications electronically

Receive all communications electronically including notification of annual reports, notices of meetings and payment statements.

☒ Suppress confirmation advices

OK

Update tax details

WBC, X*****5985 (Nominee Services Limited)

Current Tax Status: Not Recorded

Nominee Services Limited

☒ ABN:

Not Recorded

☒ TFN:

Recorded

Type:

Corporation

OK

OnClick OK in holding update modal window, user is directed back to the In Progress table, with filtering and/or page selection persisting, user is returned to where they left off.

